

**THE REPUBLIC OF UZBEKISTAN**

**COMMITTEE OF VETERINARY AND LIVESTOCK  
DEVELOPMENT**

**Second Livestock Sector Development Project  
(P177825)**

**Draft for negotiations**

**ENVIRONMENTAL AND SOCIAL  
COMMITMENT PLAN (ESCP)**

**February xx, 2023**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Republic of Uzbekistan (“Borrower/Recipient”) will implement the Second Livestock Sector Development Project (“Project”), with the involvement of the Committee of Veterinary and Livestock Development under the Republic of Uzbekistan (“CVLD”) and its Project Implementation Unit (“PIU”) as set out in the Loan Agreement and Financing Agreement. The International Bank for Reconstruction and Development and the International Development Association (“World Bank”) has agreed to provide financing for the Project, as set out in the referred agreements.
2. The CVLD shall ensure that the Project is carried out in accordance with the World Bank’s Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the World Bank. The ESCP is a part of the Loan Agreement and Financing Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreements.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the CVLD shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring, and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that have been adopted and will be implemented under the Project, all of which have been consulted and disclosed, consistent with the ESS, and in form and substance, and in a manner acceptable to the World Bank. The said E&S instruments that have been adopted, may be revised from time to time with prior written agreement by the World Bank.
4. As agreed by the World Bank and the Borrower/Recipient, this ESCP may be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances, or in response to Project performance. In such circumstances, the Borrower/Recipient, through the CVLD, and the World Bank agree to update the ESCP to reflect these changes through an exchange of letters signed between the World Bank and the Borrower/Recipient, Chairman of the CVLD. The CVLD shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
<b>MONITORING AND REPORTING</b>			
A	<p><b>REGULAR REPORTING</b></p> <p>Prepare and submit to the World Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of environmental and social instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanism. Reporting shall also cover compliance of the Project with the Stakeholder Engagement Plan (SEP), Labor Management Procedures (LMP), Environmental and Social Framework (ESMF), Resettlement Policy Framework (RPF).</p>	<p>First report to be submitted within three months to the World Bank after the Effective Date. Thereafter, quarterly as part of regular Project reporting throughout Project implementation.</p> <p>Submit each report to the World Bank no later than 30 days after the end of each reporting period.</p>	<p>Project Implementation Unit (PIU) of the Committee of Veterinary and Livestock Development (CVLD)</p>
B	<p><b>INCIDENTS AND ACCIDENTS</b></p> <p>Promptly notify the World Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury specific to the Project activities.</p> <p>Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.</p> <p>Subsequently, at the World Bank’s request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<p>Notify the World Bank of any incident or accident within 48 hours after learning of the incident or accident.</p> <p>Provide a subsequent detailed report to the World Bank on the incident or accident within 30 days after occurrence of an incident or accident.</p>	<p>PIU of the CVLD</p>
C	<p><b>CONTRACTORS MONTHLY REPORTS</b></p> <p>Contractors, through supervising firms where applicable, will provide to the PIU with monthly monitoring reports on ESHS performance in accordance with the metrics specified in the respective bidding documents and contracts. Such reports shall be reviewed by the World Bank during regular Implementation Review Missions and, upon request, be submitted to the World Bank by the PIU between missions.</p>	<p>Monthly reports following the signing of contracts.</p>	<p>Contractors/ Supervising Firms (where applicable)/ PIU of the CVLD</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			
1.1	<p><b>ORGANIZATIONAL STRUCTURE</b></p> <p>Maintain the PIU, within the CVLD, with qualified staff and resources to support the management of environmental and social risks and impacts of the Project. The PIU staff will include one Environmental Specialist, one Social Specialist and one Community Development Specialist, who will work in coordination with the World Bank team to ensure Environmental and Social Framework (ESF) compliance including implementation of this ESCP, ESMF, SEP, LMP, and RPF. They will also oversee and monitor suppliers' compliance with relevant environmental and social requirements.</p>	<p>Maintain the PIU as set out in the Financing Agreement and Loan Agreement. Hire one Environmental Specialist, one Social Specialist and one Community Development Specialist prior to the Project Effectiveness and thereafter maintain these positions throughout Project implementation.</p>	CVLD
1.2	<p><b>ENVIRONMENTAL AND SOCIAL INSTRUMENTS</b></p> <p>Prepare, consult, adopt, disclose, and thereafter implement an Environmental and Social Management Framework (ESMF) for the Project, consistent with the relevant ESSs.</p> <p>Screen proposed activities/subprojects, including sub-loan applications, in accordance with the ESMF adopted for the Project and, thereafter, cause subproject entities to prepare, consult, adopt, disclose, and thereafter implement the site-specific Environmental and Social Impact Assessment (ESIA) and/or Environmental and Social Management Plan (ESMP), as set out in the ESMF. The proposed activities which violate the national legislation, are not in line with the project objectives, are classified as High risk, and are described in the exclusion list set out in the ESMF and shall be ineligible to receive financing under the Project.</p>	<p>The ESMF has been finalized, consulted on, and disclosed prior to the Project Negotiations, and thereafter implement the ESMF throughout Project implementation and update if necessary.</p> <p>Start as early as possible during subproject identification, throughout Project implementation, and prior to authorizing any physical works on the respective sub-project.</p> <p>Submit all subproject or site-specific ESIA/ESMP with Substantial risks and impacts for the World Bank's prior review and no objection; as well as the first (3) three subprojects approved by Participating Financial Institutions (PFIs) for prior review by the World Bank.</p>	PIU of the CVLD

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP) – SECOND LIVESTOCK SECTOR DEVELOPMENT PROJECT (P177825)

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
1.3	<p><b>MANAGEMENT OF CONTRACTORS</b></p> <p>Incorporate the relevant aspects of the ESCP, including, inter alia, the relevant E&amp;S instruments, the LMP, and code of conduct, into the ESHS specifications of the procurement documents and contracts with contractors, subcontractors, and supervising firms. Thereafter ensure that the contractors and supervising firms comply and cause subcontractors to comply with the ESHS specifications of their respective contracts.</p> <p>Include appropriate ESMP or other management plans required as per the ESMF, in all bidding documents for contractors and supervising firms. Contractors will prepare and implement a contractor’s ESMP and related plans such as traffic management plan, waste management plan, occupational health and safety (OHS) plans.</p>	<p>As part of the preparation of procurement documents and respective contracts.</p> <p>Throughout Project implementation.</p>	<p>PIU of the CVLD</p> <p>Contractors/ Supervising Firms</p>
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			
2.1	<p><b>LABOR MANAGEMENT PROCEDURES</b></p> <p>Adopt and implement LMP for the Project to the satisfaction of the World Bank. The LMP will include, inter alia, provisions on working conditions, management of workers relationships, occupational health, and safety (including personal protective equipment, and emergency preparedness and response), code of conduct (including relating to SEA and SH), forced labor, child labor, grievance arrangements for Project workers, and applicable requirements for contractors, subcontractors, and supervising firms.</p>	<p>Adopt the LMP prior to Effective Date, and thereafter implement the LMP throughout Project implementation.</p>	<p>PIU of the CVLD</p>
2.2	<p><b>GRIEVANCE MECHANISM FOR PROJECT WORKERS</b></p> <p>Establish and operate a grievance mechanism (GM) for Project workers to the satisfaction of the World Bank, as described in the LMP and consistent with ESS 2.</p>	<p>Prior to hiring project workers and maintain and operate it throughout Project implementation.</p>	<p>PIU of the CVLD</p>
<b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>			
3.1	<p><b>POLLUTION PREVENTION AND MANAGEMENT</b></p> <p>Assess the risks and impacts associated with material use, management of construction waste, chemicals, and hazardous materials and waste, construction runoff, dust and noise emissions, and management of pesticides for all sub-projects and prepare ESMPs. Determine if additional management plans such as Pest Management Plans and Waste Management Plans are needed based on the procedure outlined in ESMF and prepare respective instruments.</p> <p>The procurement of equipment for offices, and construction of value-chain facilities should incorporate technically viable and feasible energy-efficient considerations.</p> <p>Financed cold-storage facilities should not use ozone-depleting substances prohibited for use on the territory of Uzbekistan.</p>	<p>Adopt the ESMP and additional management plans, as relevant, before the commencement of physical works for the subproject that requires the adoption of such instrument/s. Once adopted, implement the respective instrument throughout subproject implementation.</p>	<p>PIU of the CVLD and Contractors</p>
<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>			

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MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
4.1	<p><b>COMMUNITY HEALTH AND SAFETY</b></p> <p>Assess and manage specific risks and impacts to the community arising from Project activities including behavior of Project workers, traffic and road safety risks, community exposure to pollution and disturbance effects and SEA/SH risk. Include mitigation measures in the ESMPs to be prepared in accordance with the ESMF. Larger project activities may necessitate Traffic Management Plans.</p>	Adopt the ESMP before the commencement of physical works for the respective subprojects that require the adoption of such ESMP. Once adopted, implement the respective ESMP throughout activity/subproject implementation.	PIU of the CVLD
<b>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</b>			
5.1	<p><b>RESETTLEMENT FRAMEWORK</b></p> <p>Adopt and implement the Resettlement Policy Framework (RPF) for the Project to the satisfaction of the World Bank and consistent with ESS 5.</p>	Adopt the RF within one month of Effective Date, and thereafter implement the RPF throughout Project implementation.	PIU of the CVLD
5.2	<p><b>RESETTLEMENT PLAN</b></p> <p>In the event of any need of land acquisition, restrictions on land use, and involuntary resettlement, site specific Resettlement Action Plans (RAPs) will be prepared, disclosed, and consulted upon as set out in the RF and consistent with ESS5. The resettlement plans shall be adopted and implemented to the satisfaction of the World Bank.</p>	Adopt and implement the respective RAP, including ensuring that before taking possession of the land and related assets, full compensation has been provided as per the approved plans.	PIU of the CVLD
<b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			
	<p>Assess the impacts on biodiversity following the guidance provided in the ESMF and include mitigation measures in the site-specific ESMPs to avoid any negative impacts on natural habitats and biodiversity.</p> <p>Within the information dissemination and training activities include animal welfare and ethical treatment of animals in accordance with Good International Industry Practice (GIIP)/certifiable methodologies and developing Codes of Conducts.</p>	Adopt the ESMP before the start of physical works for the subproject that requires the adoption of such ESMP. Once adopted, implement the respective ESMP throughout subproject implementation. During the implementation of information dissemination and training activities.	PIU of the CVLD
<b>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b>			
ESS 7 is not relevant for the Project.			
<b>ESS 8: CULTURAL HERITAGE</b>			
ESS8 is currently not relevant. Although the envisaged activities are not expected to have direct physical impact on any heritage monuments, the ESMF includes a section on "chance find" procedures to be included in site- specific ESIA/ESMPs and checklists.			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
<b>ESS 9: FINANCIAL INTERMEDIARIES</b>			
9.1	<p><b>ENVIRONMENTAL AND SOCIAL MANAGEMENT SYSTEM (ESMS)</b></p> <p>Check the compliance of/ develop, maintain, and implement PFI ESMSs to identify, assess, manage, and monitor the environmental and social risks and impacts of PFI subprojects that will receive financing from the Project. The ESMS shall include, inter alia, the following elements:</p> <ul style="list-style-type: none"> <li>• Identification of the PFI subprojects that may receive support from the Project.</li> <li>• An environmental and social policy endorsed by PFI senior management.</li> <li>• Clearly defined procedures for the identification, assessment, and management of the environmental and social risks and impacts of PFI subprojects, in accordance with ESS9, including, inter alia, stakeholder engagement and disclosure requirements applicable to PFI subprojects.</li> <li>• Exclusion list with the activities that are not eligible for financing.</li> <li>• An organizational capacity and competency for implementing the ESMS with clearly defined roles and responsibilities.</li> <li>• Monitoring and reporting of environmental and performance of PFI subprojects and the effectiveness of the ESMS.</li> <li>• Incidents and accidents notification and subsequent reporting requirements as set out in action B under Monitoring and Reporting requirements mentioned above.</li> <li>• An external communications mechanism, including measures to respond to public enquiries and concerns in a timely manner.</li> </ul> <p>Disclose a summary of each of the elements of the ESMS through the relevant website.</p>	<p>Prior to disbursement for the respective PFI under the Subsidiary Loan Agreement with the PFI.</p> <p>Once established, maintain, and implement the ESMS throughout Project implementation.</p>	PIU of the CVLD and PFIs
9.2	<p><b>PFI ORGANIZATIONAL CAPACITY</b></p> <p>Establish and maintain an organizational capacity and competency for implementing the ESMS with clearly defined roles and responsibilities, including appointing loan officers responsible for subproject screening, risk assessment and monitoring.</p>	<p>Prior to disbursement for the respective PFI under the Subsidiary Loan Agreement with the PFI.</p> <p>Once established, maintain, and implement the ESMS throughout Project implementation.</p>	PFIs
9.3	<p><b>SENIOR MANAGEMENT REPRESENTATIVE</b></p> <p>Designate a senior management representative to have overall accountability for environmental and social performance of PFI subprojects that receive support from the Project.</p>	<p>Prior to disbursement for the respective PFI under the Subsidiary Loan Agreement with the PFI. Once designated, the representative will be maintained throughout the operation of the credit line.</p>	PFIs
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
10.1	<p><b>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</b></p> <p>Adopt and implement the SEP for the Project to the satisfaction of the World Bank and consistent with ESS10. The SEP includes measures to, inter alia, provide stakeholders with timely, relevant, understandable, and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination, and intimidation.</p>	Adopt the SEP within one month of Effective Date and thereafter implement the SEP throughout Project implementation and update it, as needed.	PIU of the CVLD
10.2	<p><b>PROJECT GRIEVANCE MECHANISM</b></p> <p>In accordance with the SEP, establish, publicize, maintain, and operate an accessible GM, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS 10.</p> <p>The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence (GBV) service providers, all in a safe, confidential, and survivor-centered manner.</p>	GM shall be established by PIU of the CVLD prior to commencement of project activities and thereafter maintained and operated throughout Project implementation.	PIU of the CVLD
<b>CAPACITY SUPPORT</b>			
CS1	<p><b>Provide training to PIU staff and contractors on:</b></p> <ul style="list-style-type: none"> <li>- The World Bank ESF and requirements of the ESSs</li> <li>- Stakeholder mapping and engagement and information disclosure</li> <li>- The ESMF and RPF prepared for the project</li> <li>- Labor Management Procedures</li> <li>- Handling workers’ and beneficiaries’ Grievances</li> <li>- Community Health and Safety as it relates to livestock management</li> <li>- Prevention and response to potential cases of GBV/SEA/SH and application of Codes of Conduct</li> <li>- Relevant occupational health and safety issues, including COVID-19 precautions.</li> <li>- Biosafety security and animal welfare issues.</li> </ul>	Throughout Project implementation.	PIU of the CVLD